



Express Mail No. EL897894473US

PATENT

LS  
11-12-02  
Amar  
8/A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Aloys Wobben  
Application No. : 09/856,654  
Filed : August 27, 2001  
For : AZIMUTHAL DRIVING SYSTEM FOR WIND TURBINES

Art Unit : 3745  
Docket No. : 970054.406USPC  
Date : October 22, 2002

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, DC 20231

PRELIMINARY AMENDMENT

RECEIVED  
OCT 30 2002  
TECHNOLOGY CENTER R3700

Commissioner for Patents:

Please amend the above-identified application as follows:

In the Claims:

Please amend claims 1-5 to read as follows:

- Sub B27*
1. (Amended) A wind power installation comprising:
    - a machine housing which accommodates
    - a rotor with at least one rotor blade, and
    - a displacement device for displacement of the machine housing for desired orientation of the rotor in a direction of wind, wherein the displacement device has as its drive a three-phase asynchronous motor which for displacement of the machine housing is acted upon by a three-phase current and which is at times or completely acted upon with a direct current during a stoppage time of the machine housing.

16-24-02

GAUJ745

EXPRESS MAIL NO. EL897894473US

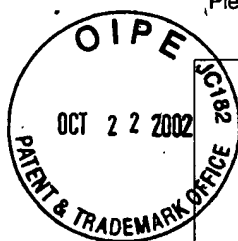
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

Application Number	09/856,654
Filing Date	August 27, 2001
First Named Inventor	Aloys Wobben
Group Art Unit	3745
Examiner Name	
Attorney Docket No.	970054.406USPC

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below): _____ _____ _____
--	---	--

RECEIVED

OCT 30 2002

TECHNOLOGY CENTER R3700

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Dale R. Cook	 <b>00500</b> PATENT TRADEMARK OFFICE
Signature		
Date	October 22, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.		
Typed or printed name		
Signature		Date: